

U.S. Department of Commerce, Patent and Trademark Office		Attorney Docket No.: 026-0012
		Application No.: 09/888,663
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant(s): Michael H. Perrott
(Use several sheets if necessary)		Filing Date: June 25, 2001
		Group Art Unit: 2817
		Date Submitted: August 28, 2003

## U.S. Patent Documents

*Examiner Initial		Document Number	Date	Name
<i>CH</i>	AA	6,463,109 B1	10-08-2002	McCormack et al.
	AB			
	AC			
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	AE			
	AF			FAX RECEIVED
	AG			AUG 28 2003
	AH			RECORDED
	AI			
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	AK			

## Foreign Patent Documents

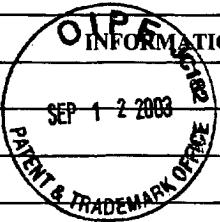
		Document	Date	Country	Translation
		AL			Yes
		AM			No
		AN			
		AP			

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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Examiner <i>J. O'Hearn</i>	Date Considered 11/8/04
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

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			Date Submitted: September 9, 2003		
<b>U.S. Patent Documents</b>					
*Examiner Initial		Document Number	Date	Name	
<i>CH</i>	AA	6,178,213	Jan. 23, 2001	McCormack et al.	
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<b>Foreign Patent Documents</b>					
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	AL				Yes
	AM				No
	AN				
	AP				
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Examiner	<i>CH</i>	Date Considered	<i>1/9/04</i>		
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				Group Art Unit: 2817	
				Date Submitted: October 31, 2003	
<b>U.S. Patent Documents</b>					
*Examiner Initial		Document Number	Date	Name	
<i>CH</i>	AA	5,305,323	04-19-1994	Lada	
	AB	5,764,651	06-09-1998	Bullock et al.	
	AC	5,835,501	11-10-1998	Dalmia et al.	
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	AL				Yes
	AM				No
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	AP				
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>					
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	AT				
Examiner	<i>K. Klein</i>	Date Considered	<i>1/8/04</i>		
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OCT 02 2003 INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant(s): Michael H. Perrott			
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		Date Submitted: September 29, 2003			
U.S. Patent Documents					
*Examiner Initial	Document Number	Date	Name		
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				Translation	
	Document	Date	Country	Yes	No
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<i>SH</i>	AR	Matsumoto, Y., et al., "An adaptive decision threshold control of the optical receiver for multi-gigabit terrestrial DWDM transmission systems", 2000 Optical Society of America, pp. TuR2-1 thru TuR2-3			
<i>SH</i>	AS	Kawai, M., et al., "Smart Optical Receiver With Automatic Decision Threshold Setting and Retiming Phase Alignment", Journal of Lightwave Technology, Vol. 7, No. 11, November 1989, pp. 1634-1640			
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Examiner <i>Klein</i>	Date Considered	1/8/04			
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